

PART B - FEE(S) TRANSMITTAL

Complete and send this form together with applicable fee(s), to: **Mail**

Mail Stop ISSUE FEE
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

45774 7590 08/15/2005

KUDIRKA & JOBSE, LLP
ONE STATE STREET, SUITE 800
BOSTON, MA 02109

11/17/2005 MBERHE1 00000005 194974 09965218

01 FC:1501 1400.00 DA
02 FC:1504 300.00 DA

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

<i>Peggy Smiley</i>	(Depositor's name)
<i>Peggy Smiley</i>	(Signature)
<i>11 Nov 2005</i>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/965,218	09/27/2001	Peter J. Wagener	P6051	3868

TITLE OF INVENTION: METHOD AND APPARATUS FOR MANAGING DATA VOLUMES IN A DISTRIBUTED COMPUTER SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	11/15/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
CORRIELUS, JEAN M	2162	707-204000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **Kudirka & Jobse, LLP**

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Sun Microsystems, Inc.

Santa Clara, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-4974 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

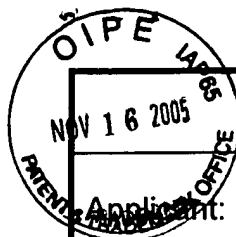
The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature *Noreen A. Krall*
Typed or printed name Noreen A. Krall

Date 11/10/05
Registration No. 39,734

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**ISSUE FEE TRANSMITTAL**

Docket No. P6051-US-NP-0

Applicant: Peter J. Wagener, Mark J. Musante, Chhandomay Mandal, Melora L. Goosey, Roberta A. Pokigo, George J. Quill, Peter L. Gratzner, Jillian I. DaCosta and Jonathan C. France

Serial No: 09/965,218

Filed: September 27, 2001

For: METHOD AND APPARATUS FOR MANAGING DATA VOLUMES IN A DISTRIBUTED COMPUTER SYSTEM

Examiner: J. M. Corrielus

Art Unit: 2162

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on *11 Nov 2005*

Peggy Smiley

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In response to the Notice of Allowance and Fee(s) Due dated August 15, 2005 for the above-identified application, enclosed are the following documents (indicated by a checked box):

Documents

- ☒ Issue Fee Transmittal
- ☐ Letter to Official Draftsperson accompanied by formal drawings of Figures comprising drawing sheets.
- ☐ Advance order of soft copies of letters patent

Small Entity

- ☐ Applicant claims small entity status.
- ☐ Small entity status is no longer claimed.

Fees

The following fees are due:

Issue Fee: \$1,400.00
Publication Fee: \$300.00
Fee for Copies:
Total Fees: **\$1,700.00**

Payment

- ☐ A check in the amount of the total fees is enclosed to cover the issue fee due and advance order of patent copies.
- ☒ The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.16-1.19 to Deposit Account No. *19-4974*. A duplicate of this sheet is attached.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16-1.19 that may be required, or credit any overpayment, to Deposit Account No. *19-4974*

Mark Hall *39,734* Date: *11/10/05*
Reg. No.

Customer Number 045774

Tel: (617) 367-4600 Fax: (617) 367-4656